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# Administration

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level relationship between Irish politicians and bureaucrats at the local government

#### NOTES

- <sup>1</sup> For an expanded account of the Irish managerial system of local government and local politics, see C. A. Collins *Powerhouse: A study of the county and city manager system, Dublin: Institute of Public Administration (working title forthcoming 1986).*
- to this right needs a majority vote and must relate to a specific executive act not all cases of a specified gives the council the right to direct the manager to act in a particular way. A resolution to give effect Public Administration, 1982. kind. See Keane, J. The Law of Local Government in the Republic of Ireland, Dublin: Institute of <sup>2</sup> Section Four – this refers to the City and County Management (Amendment) Act, 1955 S.4 which
- colleagues' opinion in a letter to this author: There is not the remotest scintilla of truth in the whole details and names, the incident does seem very poorly documented. One manager summarized his to be factually inaccurate in several places. Though Bax obviously disguises the story by changing Secretary of the LAC. Several other interviewees commented negatively on the account itself; it appears assistant county manager who was the 'candidate's' direct boss, the then county manager and the During the research for this article, the details of the Bax case were specifically denied by the ther

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## NOTES AND SURVEYS

# IS THERE A RADICAL PUBLIC ADMINISTRATION?

design. His manifesto is however, neither distinctively radical nor helpful. processes, (4) the resurrection and rehabilitation of functional explanation, and ality or neutrality, (3) focus on organizational crises rather than evolutionary of administrative change rather than explanations based on administrative rationgreater explanatory profundity, (2) preference for social structural explanations complemented by favour towards structuralist explanations on the grounds of their (5) the promotion of popular participation and communication in administrative 'tool-kit'. They were as follows: (1) hostility towards individualistic explanations, Administration (RPA). He detected five main components of a developing radical Patrick Dunleavy (1982) has contended that there is an emergent radical public

# (1) Radicals are free not to be structuralists

social science in the 1960s, only to be displaced by Parisian structuralism in the 1970s. Arguably a swing back towards humanism is under way (Benton 1984 laws of epistemological fashion in recent decades. Humanism dominated radical to right or right to left' (Feuer 1975 19). Socialist intellectuals have obeyed Feuer's its history generally moves through the political and social spectrum, from left time or another by every ideology; the same philosophical idea in the course of tional epistemological fashions amongst radicals of the left or right. admittedly a conservative, has argued persuasively that one can detect generano strong historical correlation between being structuralist and radical. Feuer, Thompson 1979). History . . . shows . . . that virtually every philosophical tenet has been used at some There is no necessary connection between structuralism and radicalism. There is

turalism and radicalism. RPA - if it existed before the last decade - was informed evidence of intellectual history is against any necessary connection between struc-Dunleavy's RPA may not be just the product of an epistemic trend, but the

usual disclaimers apply MSC in Public Administration and Public Policy for their helpful comments on a previous draft. The The author would like to thank the Editors of Public Administration, an anonymous referee, his LSE colleagues (Patrick Dunleavy, George Jones and Tom Nossiter) and the students of the 1983–84

by humanist epistemologies. The collection of essays edited by Marini known as the Minnowbrooke Perspective is a good example (Marini 1971). Indeed the value of 'participation' is psychologically more consonant with a humanist or subjectivist epistemology, as the Minnowbrooke participants illustrate. One value from which the demand for participation stems is that of personal autonomy: the capacity to shape one's relations. However, the notorious problem for structuralism is that any explanation of autonomous action threatens to explain its autonomy away – 'actors disappear'. There appears to be at least psychological dissonance between valuing autonomy and commitment to structuralism especially in its Parisian 'theoretical anti-humanism' guise.

pragmatism does not make one unradical! Radical values, in brief, are independent dualist or structuralist explanation varies with the explanandum. Such philosophical as the hallmark of the social sciences (Elster 1983). The utility of either an indivigressive. Elster, for example, is a socialist happy to embrace intentional explanation normative individualism, and is no more reactionary than structuralism is probe a revolutionary. Putting the converse, methodological individualism is not consequences of actors' actions create an alienated world in which the notion of actors' control is *prima facie* absurd. The strategic inference which some strucof epistemic postures revolutions as the effects of the operation of structural contradictions need not unintentionally or in a revolutionary manner. Indeed the structuralist who regards inference is unwarranted. Structures can change or be destroyed incrementally, democratic control is not feasible through piecemeal change. However, such an often so. However, humanists are quite capable of recognizing that the unintended turalists draw from the conclusion that actors are not in control is that obtaining administrative structures are not under the control of actors. Undeniably, this is The motivation of radical structuralists is not innocent. They contend that current

### (2) Neutrality and dead horses

It is incontestable that radicals should demystify dominant ideologies. 'Administrative neutrality and rationality' are often rationalizations for organizational restructuring, as in the proposed abolition of the GIC and MCCs. However, demystification is not a tool. There is no unique methodology for successful demystification, although it is useful to be on our guard. The invocation of 'it is no accident, comrade' in the case of every organizational change easily degenerates into the permanent conspiracy hypothesis, as structuralists would be the first to recognize. Conspiracies have a bad academic press too often, but conscious paranoia is scarcely a methodology.

In any event the radical must demonstrate the unimportance of 'organizational logic' for changes in administrative arrangements. Such considerations may be crucial in elite perceptions. If 'organizational logic' figures in elite motivation it may have real consequences. In accounting for decisions to change public administration, to centralize or decentralize, to create or destroy quangos, organizational logic may be salient, even if the decision is overdetermined by political and ideological motives, or indeed structural constraints. Perhaps orthodox public

administration is too happy to present explanations of administrative change as the outcome of the monocausal working of autonomous organizational logic, though Dunleavy scarcely stuffs his case with references! It is salutary to remind us that such arguments can become rationalizations. However, there is a role for autonomous organizational logic in explanations of administrative behaviour if one accepts the premise of large scale organization. Allison's analysis of the Cuban missile crisis is particularly revealing about the logic of 'standard operating procedures' (Allison 1971). It is strange for a structuralist to deny the impact of what is patently structural: large scale organization!

Finally, radicals are not alone in denying the neutrality of administrative changes. Traditionalists see bureaucratization and the growth of state activity as part of the unwelcome march of equality, destroying traditional hierarchies and social institutions (Siedentop 1983). Neoliberals regard policy outcomes as the product of interactions between utility-maximizing voters, vote-maximizing politicians and budget-maximizing bureaucrats (Tullock 1976) and are scornful of the notion that administrative behaviour and change are motivated by altruistic neutralism. Pluralists see organizational change as the result of prevailing pressure group forces (Truman 1951). There may be some last stranded platoons of academic public administrators who explain everything by administrative neutrality or rationality, but their numbers have been much depleted by defectors to ideological positions which if not radical are not neutral. Radicals should steer clear of flogging dead horses.

### (3) The Primacy of Crises?

The notion that radicals should focus upon organizational and administrative crises, rather than evolutionary processes, looks unsurprising. Radicals like change, revolution, transformation 'and all that'. However, once again, such a focus is not a tool. It is, at most, the result of a particular type of structuralism and functionalism, such as that of the early Claus Offe, one of the authors cited by Dunleavy. Offe argued that one can only demonstrate that a state is a capitalist state when it is in crisis. When it is not in crisis, it successfully conceals (even from the subtlest neomarxist!) that it is a capitalist state. It would be more convincing and politically useful to demonstrate that the capitalist state is indeed a capitalist state even when it 'appears' as if it is not. The notion of an object which successfully resists epistemic exposure except in crisis looks like a lazy rather than a new Marxist argument.

Offe's position has since shifted. He now argues that there is a 'crisis of crisis management' in the advanced capitalist states, observable in fiscal, administrative and legitimation crises (Offe 1975, 1984). He identifies two strategies for coping with crises: the productive and the allocative. Allocative strategies are appropriate to (Weberian) bureaucratic administration, productive strategies are not. Standard explanations about why public enterprises in capitalist economies have difficulties in terms of efficiency and accountability are invoked. The alternatives to Weberian administration are, in Offe's view, technocratic planning and/or participation. But, he argues, whatever the mode of policy formulation and implementation (Weberian, technocratic or participatory) there will be costs from the perspective of the

accumulation and legitimation functions of the state. So far so good, and useful too perhaps. Yet all that Offe is actually saying is that there are 'administrative dilemmas' (Self 1976). There is nothing to suggest that these 'crises' are capable of being superseded under socialism. Translation of Offe's account renders the following proposition: 'Whatever the mode of policy formulation and implementation, there will be costs in terms of efficiency, accountability and/or legitimacy to regimes faced with choices between planning, markets and democracy in promoting public policies. Permutations of the above costs are all that is feasible.' Dilemmas are different from crises; real dilemmas cannot be superseded, crises can, or the medical metaphor is being overburdened. There will be administration dilemmas under any feasible socialism (Nove 1983).

Rather than focus upon 'crises' which turn out to be inevitable choices with opportunity costs, attention to routine organizational practices and their evolution may be profoundly important for radical purposes. Such a focus might assist the uncovering of hidden structures of domination, whether capitalist or sexist. March and Simon's focus on latent and unobtrusive controls illustrates how attention to routine, crisis-free processes is revelatory about unintentional brainwashing (Perrow 1979). Focusing upon routine often exposes domination more subtle than that 'exposed' in crises, as work on the labour process makes clear (Littler 1982). From the perspective of feasible socialism it makes sense to analyse stable routinized behaviour in evolved organizations. It shows how organizations are constrained by relations which radicals dislike (private property in the means of production, sexism and racism) from being organizations which radicals might have more time for. Attention to crisis-free administration may have the 'pay off' of more profound critique. If it is claimed that there is no crisis-free administration then notion of crisis has lost precision.

# (4) Functionalism and administrative theory?

to explain why they were carried out managers at the expense of the working class (Dearlove 1979), but that is insufficient reorganizations of local government in the 1960s and 1970s may have benefitted does not tell us why they benefit, although it might give us some clues. The the reorganization occurred. 'Who benefits?' is a question the answer to which be empirically resolved, the 'winning functionalist' would not have explained why the benefits of the ruled classes. Even if the key question 'Who benefits?' could ruling class, and the radical functionalist that they are functional for minimizing marxist functionalist that they are functional for maximizing the benefits of the to argue that the arrangements are functional for maximizing total benefits, the the ruling class and the ruled classes, we would expect the conservative functionalist consequences of an administrative reorganization can be divided into benefits for or not) that explain them. As Elster observes, hard functionalism can be deployed for conservative, radical, or marxist ideologies. If, for example, the net beneficial that all social phenomena have beneficial consequences (intended or not, recognized pseudo-functionalist (Barry 1965, Elster 1983). Hard functionalism is the doctrine Functionalist explanation' in the social sciences is inappropriate or in many cases

> over PA which it desires: explanatory capacity. along the lines of Dunleavy's manifesto it would be bereft of the claim to superiority social science analogue of the theory of evolution (Elster 1983). If RPA developed mechanism for each social scientific explanation is required because we have no actors follow without being aware of its consequences). A specific feedback mechanism requires intentionality. The mechanism could be a rule of thumb which to a proper understanding of such criticism is that it does not entail that the quences of x and beneficiaries of x in search of an explanatory mechanism. (Crucial a subject' turns out to require very clever subjects indeed. Most functional makes the explanation an intentional or filter explanation. The 'process without of the capitalist class functionally explain more representative administrative elites. 'explanations' are not explanations, they are at best connections between consethe short and long run interests of the capitalist class. The most plausible mechanism specified mechanism; second, the most plausible mechanism, substantiated in many general functionalist fallacy that consequences explain causes in the absence of a Such an argument, which is not untypical, has at least two problems. First, the does not always act in their short run interests. (3) Therefore the long run interests relatives). (2) But, it is in the long run interests of the capitalist class that the state interests of capitalists (who might want the state to be staffed by their friends and teleologies, processes with purposes, but without subjects. Thus a functionalist Most social science 'functional explanations' are poorly constructed objective critical failing in most attempts at functional explanation in the social sciences is bureaucracy more meritocratic, we do not have a functional explanation. The meritocratic bureaucracy is functional for their continued rule, and they make the we have what Elster calls a 'filter explanation', which is a sub-set of the class of a valid functional explanation that condition (4) be maintained. If actors perceive historical accounts, is the strategic capacity of state personnel to distinguish between the following form. (1) State recruitment practices often act against the short run Marxist explanation of the recruitment of higher level civil servants might take that condition (5), through which consequences can explain causes, is not met. and reinforce patterns benefitting them, then condition (4) no longer holds, and explained by these consequences. Elster argues that a valid functionalist explanasequences that are beneficial, unintended and unrecognized, they can also be 'intentional explanations'. For example, if political elites become aware that a more (5) Y maintains X by a causal feedback loop passing through Z. It is crucial to the causal relation between X and Y – is unrecognized by the actors in Z; and beneficial for Z; (3) Y is unintended by the actors producing X; (4) Y – or at least by its function Y, for group Z, if and only if: (1) Y is an effect of X; (2) Y is tion takes the following form: An institution or a behavioural pattern X, is explained Soft functionalism is the principle that whenever social phenomena have con-

Dunleavy appealed to Cohen's notion of 'consequence laws' (Cohen 1980) to rehabilitate functional explanation. However, the idea that consequence laws are explanatory is misconceived. A consequence law is a statement to the effect that whenever some institution or behaviour would have beneficial effects, it is in fact observed. Yet consequence laws make it impossible to distinguish between

explanation, even in its revamped form of consequence laws, is prone. explanation. Such an example is paradigmatic of the pitfalls to which functiona would have an intentional explanation or filter explanation not a functional mechanism for welfare state creation was the activity of pro-capitalist forces we of production it becomes straightforward teleology. Even if the real historical were true it would not be explanatory. If the argument moves to the proposition consequences of legitimating the capitalist class. So even if the consequence law the real historical mechanism for welfare state creation might be the actions of socialist and working class parties which have had (let us assume) the unintended state would be beneficial for a capitalist class, otherwise it is difficult to see how occurs'. The first problem would be to operationalize when the existence of a welfare that the actions of socialist parties are effects or consequences of the capitalist mode the law could be falsified. But more significantly, if the law were operationalized welfare state would benefit the legitimation of a capitalist class, a welfare state for the sake of argument a consequence law of the following form: Wherever a mechanism at work we cannot distinguish causation from correlation. Imagine explanatory and non-explanatory correlations. Unless we specify the causa

that's a functionalist speciality... conflict. Talcott Parsons was a functionalist who notoriously found it difficult to and the ability to theorize connections between administrative changes and social theorize such phenomena. Doubtless such an aside is 'guilt by association', but Furthermore there is no necessary connection between functional explanation

be ways of arriving at a starting point for analysis of a crisis. matter how one comes to identify a crisis. Using Christian theology or Das Kapita crisis identification. That may or may not be the case. Reading Althusserian or or the misapplication of analogies from functional explanation in biology may al Habermasian explanations might make one think otherwise. However, it may no Finally, Dunleavy claimed for functional explanation the virtue of facility in

# (5) Consumerism, participation and radicalism

antonyms. Dunleavy's manifesto gives the impression of being too producer orien tion of work or the socialization of private capital. Socialism and markets are not from strong consumer protection and market mechanisms than from the reorganizadespotism and bureaucratic exploitation. The subaltern classes often benefit more the (limited but real) virtues of markets in protecting citizens from administrative and consumerism. Radicals who wish to learn from these experiences must learn of the greatest disasters in socialist planning has been the treatment of consumers concentration on the politics of production has not been sufficiently qualified. One However, Dunleavy's 1982 article leaves the impression that the Old Left's exclusive democratic modes of administration in the respect paid to popular participation. RPA. Democratic socialism must supersede both Stalinist and current liberal tated in its conception of participation. There is no disputing the place of participation in any worthwhile conception of

### Applications?

an analysis of the requirements of administrative or organizational logic (e.g. the overstated. First, these subjects either have or can benefit from intentional explanaof budgeting and (3) in the analysis of professional power. His claims were useful were (1) in the development of the dual state thesis, (2) the fiscal sociology of the literature is not possible to substantiate my claims. But the empirical gains elaborated, functional explanations cannot have been helpful! An extensive review routine processes not simply from focusing upon crises. Fourth, for the reasons logic of incrementalism in budgeting). Third, they have all benefitted from analysing tion (e.g. the logic of collective action). Second, they have or can benefit from Dunleavy argued that three subjects in which the radical's tool kit had proved tool-kit he identifies, and put into doubt its distinctiveness and utility. for RPA as described by Dunleavy are conceivably analytically independent of the

and methodological closure for a discipline and for a radicalism which can do popular participation in administration. Dunleavy's manifesto threatens epistemic methodologies. Further, the utility of functionalism, whether or not one is a radical between substantive ideological positions and particular epistemologies or without it. May his version of RPA rest in peace... Finally, the arguments of market socialism must enter into radical advocacy of particular epistemology or methodology. Moreover, radicals should treat warily has been disputed. Radicalism need not stem from or be strengthened by any the injunction to focus upon crises or the unimportance of administrative rationality. Against Dunleavy's manifesto I have argued that there are no necessary connections

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### SOME OBSERVATIONS FROM SIZEWELL THE USE OF INDEPENDENT EXTERNAL ECONOMIC ADVICE

by the Electricity Consumers' Council (ECC) to the Public Inquiry into the Central note is to appraise such advice as offered by the team of economists assembled (PWR) at Sizewell in Suffolk to be known as Sizewell B. Electricity Generating Board's (CEGB) proposal to build a pressurized water reactor Prices and Incomes Board, the Pay Commission and the Monopolies and Mergers Central Policy Review Staff. Such Government sponsored bodies as the National economists as members of Royal Commissions to the selection of staff for the advice to bear on micro-economic problems facing them, from appointing academic Commission have also provided external economic advice. The purpose of this UK Governments have used various means to bring independent external economic

as statistics of the future' (Henderson 193). programmes, looking at past experience - including overseas experience - as well machine whose job would be to 'analyse and review British public expenditure by the Government but in no way tied by secrecy or to the Government/Whitehall risk of such errors would be reduced if there were to be a new institution set up (AGR), the predecessor of the PWR, as a major British error and argued that the ago David Henderson described the introduction of the advanced gas cooled reactor It is particularly appropriate to do this because in an inaugural lecture 10 years

ECC. At least, its academic witnesses analysed and reviewed the Sizewell proposal of how his institution might operate in the evidence put forward on behalf of the as the Public Inquiry into the Sizewell PWR has to some extent provided an example Government continues to be faced with large investment proposals in the public sector and it is relevant to see whether the introduction of the PWR will avoid regarded as one interpretation of the role of a Henderson institution. the future and made recommendations. They thus acted in a way which can be of the CEGB, drawing on past experience here and overseas, predicted themselves repeating the error perceived by Mr Henderson in the AGR programme, especially fellow economists - which seems curious given the career implications - but the At the time he reported no great enthusiasm for the proposition amongst his

to anticipate him here. What I wish to discuss is what new perspectives or better or implicitly the weight he attaches to the EEC evidence and it is not my purpose In due time, in his report on Sizewell B, the Inspector will indicate explicitly

> evidence, because as I understand it David Henderson's proposition would secure balance were encouraged in the proposers, the CEGB, as the result of the ECC arbiter's decision its results as much by modifying the proponents' approach as by influencing the

### The Sizewell B proposition

a major public expenditure programme. The main argument advanced by the CEGB desirably reduce the CEGB's system's 80% dependence on coal for primary energy. to meet a combination of load growth and plant retirement and that it would fuel. Subsidiary arguments are that it would soon be necessary to install new capacity more than cover its capital and running cost out of the savings from displacing fossil is that under almost any combination of future circumstances Sizewell B would £1000M to build, one is certainly dealing with what Henderson could regard as want to build at least two or three close replicas and since each would cost over economic aspects. If the CEGB is given consent for Sizewell B, it would probably remit included safety and local aspects, but here I am dealing only with the Layfield, whose report on all aspects is expected towards the end of this year. His reactor (PWR). The application was referred to a public inquiry under Sir Frank The CEGB has asked for consent to build at Sizewell Britain's first pressurized water

### The ECC approach

out a check list of questions: economists but specializing in the energy sector) set out to evaluate the CEGB's tioning certain aspects, we can assist the Inquiry'. Indeed its first role was to set its role throughout primarily as a questioniong one, in the hopes that by so quesappraisal of Sizewell B in a properly enquiring frame of mind: 'the EEC has seen The ECC (i.e. Messrs Mackerron, Jones and Thomas, all academically based

- (1) Were the CEGB cost and benefit assumptions adequately based in the light of: (a) history
- (b) experience elsewhere
- (c) a priori argument?
- Could plausible alternative hypotheses be set up?
- (3) What was the CEGB's degree of confidence in the range of their estimates?
- (4) Have any important economics aspects been understated in the appraisal?

up to these questions that mattered and doubtless Henderson would have expected his institution to have been more out with a check list which also included these questions so that it was the followwidely based (see page 186 of reference). Secondly, the CEGB had obviously started the fact that the group were all economists: there were no engineering insights Two points arise on this check list. In the first place, the questions reflected

### (1) (a) Use of history

The ECC made use of CEGB's unhappy experiences in the 1970s when completing the AGRs and oil plant then under construction: it acknowledged that the CEGB

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